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PTO/SB/08B (10-96)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1 of 15

Complete if Known

Application Number	Unknown 10/763,542
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
RG	AA	Re. 33,911		Samson et al.	05/05/1992	
	AB	1,011,606		Fulton	12/12/1911	
	AC	2,148,541		Dierker	02/28/1939	
	AD	2,308,484		Auzin, et al.	01/19/1943	
	AE	2,374,609		McCollum	04/24/1945	
	AF	2,466,042		Reich	04/05/1949	
	AG	2,615,686		Davidson	10/28/1982	
	AH	2,672,032		Towse	03/16/1954	
	AI	2,913,009		Kuthe	11/17/1959	
	AJ	3,125,096		Antiles et al.	07/07/1961	
	AK	3,298,371		A.S.J. Lee	01/17/1967	
	AL	3,425,419		A.A. Dato	02/04/1969	
	AM	3,460,538		Armstrong	08/12/1969	
	AN	3,504,674		Swenson, et al.	04/07/1970	
	AO	3,604,419		Diskin et al.	09/19/1968	
	AP	3,612,175		Ford et al.	07/01/1969	
	AQ	3,768,484		Gawura	10/30/1973	
	AR	3,865,116		Brooks	02/11/1975	
	AS	3,888,259		Miley	06/10/1975	
	AT	3,971,383		Van Gerven	07/27/1976	
	AU	4,038,519		Foucras	07/26/1977	
	AV	4,153,048		Magrini	05/18/1979	
	AW	4,160,455		Law	07/10/1979	
	AX	4,190,033		Foti	02/26/1980	
	AY	4,216,767		Aoshiro	08/12/1980	
	AZ	4,231,425		Engstrom	11/04/1980	
	BA	4,241,729		Aoshiro	12/30/1980	
	BB	4,275,734		Mitchiner	06/30/1981	
	BC	4,298,006		Parks	11/03/1981	
	BD	4,318,722		Altman	03/09/1982	
	BE	4,323,071		Simpson et al.	04/06/1982	
	BF	4,427,009		Wells, et al.	01/24/1984	
	BG	4,445,500		Osterholm	05/01/1984	
	BH	4,464,172		Lichtenstein	08/07/1984	
	BI	4,483,341		Witteles	11/20/1984	
	BJ	4,484,586		McMickle et al.	11/27/1984	
	BK	4,497,890		Helbert	02/05/1985	
	BL	4,502,286		Okada, et al.	03/05/1985	

Examiner Signature	/ Roy Gibson/	Date Considered	05/30/2006
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Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

RG	BM	4,569,355	Bitterly	02/11/1986	
	BN	4,581,017	Sahota	04/08/1986	
	BO	4,602,642	O'Hara et al.	07/29/1986	
	BP	4,655,746	Daniels, et al.	04/07/1987	
	BQ	4,672,962	Hershenson	06/16/1987	
	BR	4,731,072	Aid	09/06/1994	
	BS	4,739,492	Cochran	04/19/1988	
	BR	4,745,922	Taylor	05/24/1988	
	BU	4,747,826	Sassano	05/31/1988	
	BV	4,748,979	Hershenson	06/07/1988	
	BW	4,750,493	Brader	06/14/1988	
	BX	4,762,129	Bonzel	08/09/1988	
	BY	4,762,130	Fogarty, et al.	08/09/1988	
	BZ	4,781,799	Herbert, Jr. et al.	11/01/1988	
	CA	4,796,640	Webler	01/10/1989	
	CB	4,817,624	Newbower	04/04/1989	
	CC	4,819,655	Webler	04/11/1989	
	CD	4,820,349	Saab	04/11/1989	
	CE	4,860,744	Johnson, et al.	08/29/1989	
	CF	4,883,455	Leonard	11/28/1989	
	CG	4,894,164	Polaschegg	01/16/1990	
	CH	4,904,237	Janese	02/27/1990	
	CI	4,920,963	Brader	05/01/1990	
	CJ	4,951,677	Crowley et al.	08/28/1990	
	CK	4,964,409	Tremulis	10/23/1990	
	CL	4,973,493	Guire	11/27/1990	
	CM	4,979,959	Guire	12/25/1990	
	CN	5,000,734	Boussignac et al.	03/19/1991	
	CO	5,002,531	Bonzel	05/26/1991	
	CP	5,014,695	Benak, et al.	05/14/1991	
	CQ	5,018,521	Campbell	05/28/1991	
	CR	5,019,075	Spears, et al.	05/28/1991	
	CS	5,024,668	Peters et al.	06/18/1991	
	CT	5,041,089	Mueller, et al.	08/20/1991	
	CU	5,046,497	Millar	09/10/1991	
	CV	5,078,713	Varney	01/07/1992	
	CW	5,089,260	Hunter et al.	02/18/1992	
	CX	5,092,841	Spears	03/03/1992	
	CY	5,106,360	Ishwara, et al.	04/21/1992	
	CZ	5,106,368	Uldall et al.	04/21/1992	
	DA	5,108,390	Potocky et al.	04/28/1992	
	DB	5,110,721	Anaise, et al.	05/05/1992	

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Considered

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Sheet

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Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

RG	DC	5,112,438	Bowers	05/12/1992	
	DD	5,117,822	Laghi	06/02/1992	
	DE	5,147,355	Friedman et al.	09/15/1992	
	DF	5,149,321	Klatz, et al.	09/22/1992	
	DG	5,150,706	Cox, et al.	09/29/1992	
	DH	5,151,100	Abele, et al.	09/29/1992	
	DI	5,180,364	Ginsburg	01/19/1993	
	DJ	5,190,539	Fletcher, et al.	03/02/1993	
	DK	5,191,883	Lennox, et al.	03/09/1993	
	DL	5,196,024	Barath	03/23/1993	
	DM	5,211,631	Sheaff	05/18/1993	
	DN	5,234,405	Klatz, et al.	08/10/1993	
	DO	5,236,908	Gruber et al.	08/17/1993	
	DP	5,246,421	Saab	10/25/1994	
	DQ	5,248,312	Langberg	09/28/1993	
	DR	5,250,070	Parodi	10/05/1993	
	DS	5,257,977	Eshel	11/02/1993	
	DT	5,264,260	Saab	11/23/1993	
	DU	5,267,341	Shearin	11/30/1993	
	DV	5,269,369	Faghri	12/14/1993	
	DW	5,269,749	Koturov	12/14/1993	
	DX	5,269,758	Taheri	12/14/1993	
	DY	5,281,213	Milder et al.	01/25/1994	
	DZ	5,281,215	Milder	01/25/1994	
	EA	5,306,261	Alliger et al.	04/26/1994	
	EB	5,310,440	Zingher	05/10/1994	
	EC	5,322,514	Steube et al.	06/21/1994	
	ED	5,322,515	Karas et al.	06/21/1994	
	EE	5,322,518	Schneider et al.	06/21/1994	
	EF	5,326,165	Walhall et al.	07/05/1994	
	EG	5,326,166	Walhall et al.	07/05/1994	
	EH	5,330,435	Vaillancourt	07/19/1994	
	EI	5,330,519	Swendson et al.	10/11/1994	
	EJ	5,334,193	Nardella	08/02/1994	
	EK	5,342,301	Saab	08/30/1994	
	EL	5,344,436	Fontenot, et al.	09/06/1994	
	EM	5,344,740	Iwasawa et al.	09/06/1994	
	EN	5,364,364	Kasvikis et al.	11/15/1994	
	EO	5,365,750	Greenthal	11/22/1994	
	EP	5,368,591	Lennox, et al.	11/29/1994	
	EQ	5,383,854	Safar et al.	01/24/1995	
	ER	5,383,918	Panetta	01/24/1995	

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Sheet

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Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

RG	ES	5,395,314	Klatz, et al.	03/07/1995	
	ET	5,395,331	O'Neill, et al.	03/07/1995	
	EU	5,403,281	O'Neill et al.	04/04/1995	
	EV	5,417,686	Peterson, et al.	05/23/1995	
	EW	5,423,745	Todd, et al.	06/13/1995	
	EX	5,423,807	Milder	06/13/1995	
	EY	5,433,740	Yamaguchi	07/18/1995	
	EZ	5,437,673	Baust, et al.	08/01/1995	
	FA	5,443,456	Alliger et al.	08/22/1995	
	FB	5,462,521	Brucker, et al.	10/31/1995	
	FC	5,486,204	Clifton	01/23/1996	
	FD	5,486,208	Ginsburg	01/23/1996	
	FE	5,496,271	Burton et al.	03/05/1996	
	FF	5,496,311	Abele et al.	03/05/1996	
	FG	5,499,973	Saab	03/19/1996	
	FH	5,520,682	Baust et al.	05/28/1996	
	FI	5,531,776	Ward, et al.	07/02/1996	
	FJ	5,536,247	Thornton	07/16/1996	
	FK	5,545,708	Onwunaka et al.	08/13/1996	
	FL	5,549,559	Eshel	08/27/1996	
	FM	5,554,119	Harrison et al.	09/10/1996	
	FN	5,588,438	McKown et al.	12/31/1996	
	FO	5,558,644	Boyd, et al.	09/24/1996	
	FP	5,569,195	Saab	10/29/1996	
	FQ	5,573,532	Chang, et al.	11/12/1996	
	FR	5,578,008	Hara	11/26/1996	
	FS	5,584,804	Klatz, et al.	12/17/1996	
	FT	5,588,438	McKown, et al.	12/31/1996	
	FU	5,591,162	Fletcher, et al.	01/07/1997	
	FV	5,620,480	Rudie	04/15/1997	
	FW	5,622,182	Jaffe	04/22/1997	
	FX	5,624,342	Younger	08/29/1997	
	FY	5,624,392	Saab	04/29/1997	
	FZ	5,630,837	Crowley	05/20/1997	
	GA	5,643,197	Brucker et al.	07/01/1997	
	GB	5,647,051	Neer	07/08/1997	
	GC	5,653,692	Masterson et al.	08/05/1997	
	GD	5,676,693	LaFontaine	10/14/1997	
	GE	5,709,654	Klatz et al.	01/20/1998	
	GF	5,713,941	Robins, et al.	02/03/1998	
	GG	5,716,386	Ward, et al.	02/10/1998	
	GH	5,733,318	Augustine	03/31/1998	

Examiner
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Group Art Unit	3731
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RG	GI	5,733,319	Neilson et al.	03/31/1998	
	GJ	5,735,809	Gorsuch	04/07/1998	
	GK	5,797,878	Bleam	08/25/1998	
	GL	5,799,661	Boyd et al.	09/01/1998	
	GM	5,800,480	Augustine, et al.	09/01/1998	
	GN	5,800,483	Vought	09/01/1998	
	GO	5,800,486	Thome et al.	09/01/1998	
	GP	5,800,488	Crockett	09/01/1998	
	GQ	5,800,493	Stevens et al.	09/01/1998	
	GR	5,800,516	Fine et al.	09/01/1998	
	GS	5,807,391	Wijkamp	09/15/1998	
	GT	5,820,593	Safar et al.	10/13/1998	
	GU	5,824,030	Yang, et al.	10/20/1998	
	GV	5,827,222	Klatz, et al.	10/27/1998	
	GW	5,827,237	Macoviak, et al.	10/27/1998	
	GX	5,827,269	Saadat	10/27/1998	
	GY	5,833,671	Macoviak, et al.	11/10/1998	
	GZ	5,833,673	Ockuly et al.	11/10/1998	
	HA	5,834,465	Olney	11/10/1998	
	HB	5,837,003	Ginsburg	11/17/1998	
	HC	5,861,021	Thome et al.	01/19/1999	
	HD	5,868,735	Lafontaine	02/09/1999	
	HE	5,871,526	Gibbs, et al.	02/16/1999	
	HF	5,873,835	Hastings, et al.	02/23/1999	
	HG	5,879,316	Safar et al.	03/09/1999	
	HH	5,879,329	Ginsburg	03/09/1999	
	HI	5,891,094	Masterson et al.	04/06/1999	
	HJ	5,899,898	Arless et al.	05/04/1999	
	HK	5,899,899	Arless, et al.	05/04/1999	
	HL	5,902,268	Saab	05/11/1999	
	HM	5,906,588	Safar et al.	05/25/1999	
	HN	5,906,594	Scarfone et al.	05/25/1999	
	HO	5,906,636	Casscells, III et al.	05/25/1999	
	HP	5,910,104	Dobak III et al.	06/08/1999	
	HQ	5,913,856	Chia et al.	06/22/1999	
	HR	5,913,885	Klatz, et al.	06/22/1999	
	HS	5,913,886	Soloman	06/22/1999	
	HT	5,916,242	Schwartz	06/29/1999	
	HU	5,957,917	Doiron et al.	09/28/1999	
	HV	5,957,963	Dobak, III	09/28/1999	
	HW	5,964,751	Amplatz et al.	10/12/1999	
	HX	5,967,976	Larsen et al.	10/19/1999	

Examiner Signature	/ Roy Gibson /	Date Considered	05/30/2006
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	HZ	5,971,979	Joye et al.	10/26/1999
	IA	5,989,238	Ginsburg	11/23/1999
	IB	6,007,692	Herbert et al.	12/28/1999
	IC	6,011,995	Guglielmi et al.	01/04/2000
	ID	6,019,783	Phillips et al.	02/01/2000
	IE	6,022,336	Zadno-Azizi et al.	02/08/2000
	IF	6,024,740	Lesh et al.	02/15/2000
	IG	6,033,383	Ginsburg	03/07/2000
	IH	6,042,559	Dobak, III	03/28/2000
	II	6,051,019	Dobak, III	04/18/2000
	IJ	6,063,101	Jacobsen et al.	05/16/2000
	IK	6,096,068	Dobak, III	08/01/2000
	IL	6,110,168	Ginsburg	08/29/2000
	IM	6,126,684	Gobin et al.	10/30/2000
	IN	6,146,411	Noda et al.	11/14/2000
	IO	6,146,814	Millet	11/14/2000
	IP	6,149,670	Worthen et al.	11/21/2000
	IQ	6,149,673	Ginsburg	11/21/2000
	IR	6,149,676	Ginsburg	11/21/2000
	IS	6,149,677	Dobak, III	11/21/2000
	IT	6,165,207	Balding et al.	12/26/2000
	IU	6,182,666	Dobak III	02/06/2001
	IV	6,194,899	Ishihara et al.	02/27/2001
	IW	6,206,004	Schmidt et al.	03/27/2001
	IX	6,224,624	Lasheras et al.	05/01/2001
	IY	6,231,594	Dae	05/15/2001
	IZ	6,231,595	Dobak, III	05/15/2001
	JA	6,235,048	Dobak, III	05/22/2001
	JB	6,238,428	Werneth et al.	05/29/2001
	JC	6,245,095	Dobak, III et al.	06/12/2001
	JD	6,251,129	Dobak, III et al.	06/26/2001
	JE	6,251,130	Dobak, III et al.	06/26/2001
	JF	6,254,626	Dobak, III et al.	07/03/2001
	JG	6,261,312	Dobak, III et al.	07/17/2001
	JH	6,264,679	Keller et al.	07/24/2001
	JI	6,277,143	Klatz et al.	08/21/2001
	JJ	6,287,326	Pecor	09/11/2001
	JK	6,290,697	Tu et al.	09/18/2001
	JL	6,290,717	Philips	09/18/2001
↓	JM	6,295,990	Lewis et al.	10/02/2001
	JN	6,299,599	Pham et al.	10/09/2001

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

7 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

RG	JO	6,306,161	Ginsburg	10/23/2001
	JP	6,312,452	Dobak, III et al.	11/06/2001
	JQ	6,315,754	Daoud et al.	11/13/2001
	JR	6,319,248	Nahon	11/20/2001
	JS	6,325,818	Werneth	12/04/2001
	JT	6,336,911	Westerbeck	01/08/2002
	JU	6,338,727	Noda et al.	01/15/2002
	JV	6,355,029	Joye, et al.	03/12/2002
	JW	6,364,899	Dobak, III	04/02/2002
	JX	6,368,304	Aliberto et al.	04/09/2002
	JY	6,379,378	Werneth et al.	04/30/2002
	JZ	6,383,210	Magers et al.	05/07/2002
	KA	6,393,320	Lasersohn et al.	05/21/2002
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	KG	6,432,102	Joye, et al.	08/13/2002
	KH	6,432,124	Worthen et al.	08/13/2002
	KI	6,436,130	Philips et al.	08/20/2002
	KJ	6,436,131	Ginsburg	08/20/2002
	KK	20010001830	Dobak, III et al.	05/24/2001
	KL	20010001831	Dobak, III et al.	05/24/2001
	KM	20010001832	Dobak, III et al.	05/24/2001
	KN	20010002442	Dobak, III	03/31/2001
	KO	20010005791	Ginsburg et al.	06/28/2001
	KP	20010007951	Dobak III	07/12/2001
	KQ	20010008975	Dobak, III et al.	07/19/2001
	KR	20010010011	Aliberto et al.	07/26/2001
	KS	20010011184	Dobak III et al	08/02/2001
	KT	20010011185	Dobak III et al	08/02/2001
	KU	20010014802	Tu	08/16/2001
	KV	20010016763	Lasheras et al.	08/23/2001
	KW	20010016764	Dobak, III	08/23/2001
	KX	20010021865	Dobak, III et al.	09/13/2001
	KY	20010021866	Dobak III et al.	09/13/2001
	KZ	20010027333	Schwartz	10/04/2001
	LA	20010029394	Dobak, III et al.	10/11/2001
	LB	20010031946	Walker et al.	10/18/2001
	LC	20010032003	Pecor	10/18/2001
▼	LD	20010032004	Werneth	10/18/2001
	LE	20010039440	Lasheras et al.	11/08/2001

Examiner Signature	/ Roy Gibson/	Date Considered	05/30/2006
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unknown
Sheet	8	of	15	Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
				Attorney Docket Number	040003

RG	LF	20010041923	Dobak, III	11/15/2001	
	LG	20010044644	Keller et al.	11/22/2001	
	LH	20010047191	Lasersohn et al.	11/29/2001	
	LI	20010047192	Lasersohn et al.	11/29/2001	
	LJ	20010047196	Ginsburg et al.	11/29/2001	
	LK	20010049545	Lasersohn et al.	12/06/2001	
	LL	20020002394	Dobak, III	01/03/2002	
	LM	20020004675	Lasheras	01/10/2002	
	LN	20020007179	Dobak, III et al.	01/17/2002	
	LO	20020007202	Dobak, III et al.	01/17/2002	
	LP	20020007203	Gilmartin et al.	01/17/2002	
	LQ	20020016621	Werneth et al.	02/07/2002	
	LR	20020022823	Luo et al.	02/21/2002	
	LS	20020026227	Philips	02/28/2002	
	LT	20020029016	Pham et al.	03/07/2002	
	LU	20020032430	Luo et al.	03/14/2002	
	LV	20020032474	Dobak, III et al.	03/14/2002	
	LW	20020040717	Dobak, III	04/11/2002	
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	LY	20020045892	Kramer	04/18/2002	
	LZ	20020045925	Keller et al.	04/18/2002	
	MA	20020049409	Noda et al.	04/25/2002	
	MB	20020049410	Noda et al.	04/25/2002	
	MC	20020049484	Werneth et al.	04/25/2002	
	MD	20020066458	Aliberto et al.	06/06/2002	
	ME	20020068964	Dobak III	06/06/2002	
	MF	20020082671	Daoud	06/27/2002	
	MG	20020091378	Dobak III et al.	07/11/2002	
	MH	20020091429	Dobak III et al.	07/11/2002	
	MI	20020091430	Dobak III et al.	07/11/2002	
	MJ	20020095200	Dobak III et al.	07/18/2002	
	MK	20020095201	Worthen et al.	07/18/2002	
	ML	20020099427	Dobak III	07/25/2002	
	MM	20020103519	Dobak, III et al.	08/01/2002	
▼	MN	20020111616	Dae et al.	08/15/2002	
	MO	20020111657	Dae et al.	08/15/2002	

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Sheet 9 of 15

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Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴				
RG	MQ		0 664 990	Heart Rhythm Technologies, Inc.	11/19/1997		
	MR		2 447 406	Commissariat L'Energie Atomique, France	03/06/1980		
	MS	AU	730835	B2 Radiant Medical	03/15/2001		
	MT	AU	734506	B2 Innercool Therapies	11/08/2001		
	MU	AU	739996	B2 Innercool Therapies	10/25/2001		
	MV	AU	743945	B2 Radiant Medical	02/07/2002		
	MW	EP	0428505	B2 Gambro Lundia	03/14/2001		
	MX	EP	0655225	A1 Cordis Europa N.V.	05/31/1993		
	MZ	EP	1205167	A2 Alsius Corporation	05/15/2002		
	NA	SU	806 029	Irkut Medical Inst.	02/23/1981		
	NB	WO	91/05528	Granulab B.V.	05/02/1991		
	NC	WO	93/04727	American Medical Systems	03/18/1993		
	ND	WO	95/01814	Urologix, Inc.	01/19/1995		
	NE	WO	96/40347	Heartport, Inc.	12/19/1996		
	NF	WO	97/01374	Urologix, Inc.	01/16/1997		
	NG	WO	97/25011	Thermocorps, Inc.	07/17/1997		
	NH	WO	97/32518	SciMed Life Systems	09/12/1997		
	NI	WO	98/26831	Radiant Medical, Inc.	06/25/1998		
	NJ	WO	98/31312	Radiant Medical, Inc.	07/23/1998		
	NK	WO	98/49957	Regents of the Univ. of CA	11/12/1998		
	NL	WO	99/02096	Regents of the Univ. of CA	01/21/1999		
	NM	WO	99/37226	Del Mar Medical Technologies, Inc.	07/29/1999		
	NN	WO	99/44519	Atrionix	09/10/1999		
	NO	WO	99/48449	Del Mar Medical Technologies, Inc.	09/30/1999		
	NP	WO	99/56812	Atrionix	11/11/1999		
	NQ	WO	99/66970	Del Mar Medical Technologies, Inc.	12/29/1999		
	NR	WO	99/66971	Del Mar Medical Technologies, Inc.	12/29/1999		
	NS	WO	00/09054	Alsius Corporation	02/24/2000		
	NT	WO	00/10494	Radiant Medical, Inc.	03/02/2000		
	NU	WO	00/38601	Alsius Corporation	07/06/2000		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 10 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

RG	NV	WO 00/47145	Alsius, Inc.	08/17/2000	
	NW	WO 00/48670	Alsius Corporation	08/24/2000	
	NX	WO 00/51534	Alsius Corporation	09/08/2000	
	NY	WO 00/53135	Alsius Corporation	09/14/2000	
	NZ	WO 00/57823	Alsius Corporation	10/05/2000	
	OA	WO 00/62837	Alsius Corporation	10/26/2000	
	OB	WO 00/66053	Alsius Corporation	11/09/2000	
	OC	WO 00/72779	Alsius Corporation	12/07/2000	
	OD	WO 00/72787	Alsius Corporation	12/07/2000	
	OE	WO 01/03606	Alsius Corporation	01/18/2001	
	OF	WO 01/08580	Alsius Corporation	02/08/2001	
	OG	WO 01/10323	Radiant Medical, Inc.	02/15/2001	
	OH	WO 01/10365	Innercool Therapies	02/15/2001	
	OI	WO 01/12061	Alsius Corporation	02/22/2001	
	OJ	WO 01/12122	Alsius Corporation	02/22/2001	
	OK	WO 01/13809	Radiant Medical, Inc.	03/01/2001	
	OL	WO 01/13837	Innercool Therapies	03/01/2001	
	OM	WO 01/17471	Alsius Corporation	03/15/2001	
	ON	WO 01/19447	Alsius Corporation	03/22/2001	
	OO	WO 01/26590	Innercool Therapies, Inc.	04/19/2001	
	OP	WO 01/30413	Alsius Corporation	04/03/2001	
	OQ	WO 01/41708	Alsius Corporation	06/14/2001	
	OR	WO 01/43661	Radiant Medical	06/21/2001	
	OS	WO 01/49236	Alsius Corporation	07/12/2001	
	OS.1	WO 01/50987	First Circle Medical	07/19/2001	
	OT	WO 01/52781	Alsius Corporation	07/26/2001	
	OU	WO 01/56517	Alsius Corporation	08/09/2001	
	OV	WO 01/58397	Radiant Medical	08/15/2001	
	OW	WO 01/64145	Innercool Therapies, Inc.	09/07/2001	
	OX	WO 01/64146	Radiant Medical	09/07/2001	
	OY	WO 01/66052	Innercool Therapies, Inc.	09/13/2001	
	OZ	WO 01/74276	Innercool Therapies, Inc.	10/11/2001	
	PA	WO 01/76655	Innercool Therapies, Inc.	10/18/2001	
	PB	WO 01/78580	Innercool Therapies, Inc.	10/25/2001	
V	PC	WO 01/87379	Innercool Therapies, Inc.	11/22/2001	
	PD	WO 01/95840	Innercool Therapies, Inc.	12/20/2001	

Examiner Signature	/ Roy Gibson /	Date Considered	05/30/2006
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Substitute for form 1449B/PTO				Complete if Known	
				Application Number	Unknown
				Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
Sheet	11	of	15	Attorney Docket Number	040003

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
RG	PE	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, "The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients," <i>Anesthesiology</i> , July 1998, 89(1):43-48.			
	PF	Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.			
	PG	Anon, "Automatic feedback instrumentation for hospital room utilizing microsensors," <i>IBM Technical Disclosure Bulletin</i> (abs.), 29(3): 1 page, August 1986.			
	PH	Benzinger, T.H.; <i>On Physical Heart Regulation and Sense of Temperature in Man</i> ; Naval Medical Research Institute; Physiology; Volume 45; pp. 645-659; (February 26, 1959)			
	PI	Bigelo; <i>Hypothermia, Its Possible Role in Cardiac Surgery</i> , 11/1959; pp. 849-866; Annals of Surgery, Vol. 132, No. 5.			
	PJ	Bengelmann, George L.; <i>Specialized Brain Cooling in Humans?</i> ; The FASEB Journal; Volume 7; pp. 1148-1153 (September 1993)			
	PK	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anesthesia</i> , 1997, pp. 263-67, Can. J. Anaesth., Vol 44, N. 3.			
	PL	<i>Selective Brain Cooling and Thermoregulatory Set-Point</i> , 1998, pp. 3-13, Journ. Of Basic & Clinical Physiology & Pharmacology, Vol. 9, N. 1.			
	PM	Cabanac, M.; <i>Selective Brain Cooling in Humans: fancy or fact?</i> ; The FASEB Journal; Volume 7; pp. 1143-1147 (September 1993)			
	PN	Capogna, G. and D. Celleno, <i>I.V. Clonidine for post-Extradural Shivering in Parturients: A Preliminary Study</i> , 1993, Brit. Journ. Of Anaesth., Vol. 71.			
	PO	Carrol et al. "A comparison of measurements from a temporal artery thermometer and a pulmonary artery thermistor—preliminary results," Fax correspondence dated October 19, 2001.			
▼	PP	Cheatle; <i>Cryostripping the Long and Short Saphenous Veins</i> ; 01/1993; one page; Br. J. Surg., Vol. 80.			

Examiner Signature	/Roy Gibson/	Date Considered	05/30/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unknown
				Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
Sheet	12	of	15	Attorney Docket Number	040003

RG	PQ	Cheng, C. et al. (1995), "Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans," <i>Anesthesiology</i> 82(5):1160-1168, May.
	PR	Colvett, K. T., A. F. Althausen, B. Bassil, N.M. Heney, F.V. McGovern, H.H. Young, II, D.S. Kaugman, A.L. Zietman, and W.U. Shipley, <i>Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer</i> , 1996, pp. 201-208, <i>Journ. Of Surgical Oncology</i> , Vol. 63.
	PS	DeFord et al. "Design and evaluation of closed-loop feedback control of minimum temperatures in human intracranial tumours treated with interstitial hyperthermia," <i>Med. & Biol. Eng. & Comput.</i> 29:197-206, March 1991.
	PT	Deklunder, G., M. Dauzat, J-L. Lecroart, J-J. Hauser, and Y. Houdas, "Influence of Ventilation of the Face on Thermoregulation in Man during Hyper- and Hypothermia," <i>Eur. J. Appl. Physiol.</i> , 1991, 62:342-348.
	PU	Dexter; <i>Blood Warms as It Blows Retrograde from a Femoral Cannulation Site to the Carotid Artery During Cardiopulmonary Bypass</i> ; 11/1994; pp. 393-397; <i>Perfusion</i> , Vol. 9, No. 6.
	PV	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , April 1995, 75(2):243-256.
	PW	Gillinov; <i>Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest</i> ; 11/1992; pp.1432-1439; <i>Ann. Thorac. Surg.</i> , Vol. 55.
	PX	Giuffre, M. et al. (1991), "Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air," <i>Journal of Post Anesthesia Nursing</i> , 6(6):386-393, December.
	PY	Guffin, Anita, et al.; "Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal."; <i>Journal of Cardiothoracic Anesthesia</i> ; (February 1987); pp. 24-28; Vol. 1, No. 1
	PZ	Haley, E. C. et al. "A Randomized Trial of Tirlazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 1996, 27(9):1453-1458.
	QA	Higazi; <i>The Effect of Ultrasonic Irradiation and Temperature on Fibrinolytic Activity in Vitro</i> ; 08/1992; p. 251-253; <i>Thrombosis Research</i> , Vol. 69, No. 2.
	QB	Iaizzo; <i>Facial Warming Increases the Threshold for Shivering</i> , 1999, <i>Journal of Neurosurgical Anesthesiology</i> , Vol. 11, No. 4, pp. 231-239.
	QC	Imamaki; <i>Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain</i> ; 07/1995; pp. 325-333; <i>Journal of Cardiac Surgery</i> , Vol. 10, No. 4, Part 1.
↓	QD	Jolin; <i>Management of a Giant Intracranial Aneurysm Using Surface-Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion</i> ; 08/1992; pp. 756-760; <i>Acta Anaesthesiologica Scandinavica</i> .

Examiner Signature	/Roy Gibson/	Date Considered	05/30/2006
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				Application Number	Unknown
				Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
Sheet	13	of	15	Attorney Docket Number	040003

RG	QE	Jos R.C. Jansen, Ph.D., et al. (1997) <i>Near continuous cardiac output by thermodilution</i> . Journal of Clinical Monitoring 13:233-239.	
	QF	Jessen et al., <i>Intravascular Heat Exchanger for Conscious Goats</i> , 1977	
	QG	Keegan, M. T. et al. "Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients," <i>Anesthesiology</i> , September 1999, 91(3):874-876.	
	QH	Kimoto; <i>Open Heart Surgery under Direct Vision with the Aid of Brain-Cooling by Irrigation</i> ; 07/1955; pp.592-603; <i>Surgery</i> , vol. 39, No. 4.	
	QI	Kogaku "Sensor technology to control artificial organs," <i>KLA</i> , 22(4):295-300, August 1984 (in Japanese).	
	QJ	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering."; <i>Anesthesiology</i> ; (December 1983); pp. 1193-1201; V. 79; No. 6	
	QK	Lennon, R. L., M.P. Hosking, M.A. Conover, and W.J. Perkins, <i>Evaluation of Forced-Air System for Warming Hypothermic Postoperative Patients</i> , 1990, pp. 424-427, <i>Anesth. Analg.</i> , Vol. 70.	
	QL	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353-360, Vol. 81, N. 2.	
	QM	Maas, C. <i>Intermittent Antegrade Selective Cerebral Perfusion during Circulatory Arrest for Repair of Aortic Arch</i> . <i>Perfusion</i> , Vol. 12, No. 2, pp. 127-132, 1997.	
	QN	Marekovic, Z.; <i>Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs</i> ; 1980; <i>Eur Urol</i> 6(2); 1 page.	
	QO	Matsukawa, T., A. Kurz, D. I. Sessler, A. R. Bjorksten, B. Merrifield, and C. Cheng, <i>Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds</i> , 05/1995, pp. 1169-1180, <i>Anesthesiology</i> , Vol. 82, N. 5.	
	QP	Meden, P., K. Overgaard, H. Pedersen, G. Boysen, <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> , 1994, pp. 91-98, <i>Acta Neurol. Scand.</i> Vol. 90.	
	QQ	Meden; <i>The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model</i> ; 02/1994; pp. 131-138; <i>Brain Research</i> , Vol. 647.	
↓	QR	Mercer and Jessen, <i>Effects of Total Body Core Cooling on Heat Production of Conscious Goats</i> , 1978	

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Substitute for form 1449B/PTO				Complete if Known	
				Application Number	Unknown
				Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
Sheet	14	of	15	Attorney Docket Number	040003

RG	QS	Milleret, Rene; <i>La cryo-chirurgie danes les varices des membres inferieurs</i> ; Angiologie; Supplement au no. 110.
	QT	Milleret; Abstract of <i>Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; Phlebologie, Vol. 34, No. 4.
	QU	Möller et al. "Temperature control and light penetration in a feedback interstitial laser thermotherapy system," <i>Int. J. Hyperthermia</i> , 12(1):49-63, 1996.
	QV	Olshausen et al. "An isothermal flowmeter with improved frequency response for measuring tissue blood flow," <i>Pflügers Arch.</i> 367:97-102, 1976.
	QW	Pais, S. O., K. D. Tobin, C. B. Austin, and L. Queral, <i>Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients</i> , 10/1988, pp. 460-464, Journ. of Vascular Surg., Vol. 8, N. 4.
	QX	Parkins; <i>Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs</i> ; 04/1954; pp.284-289; Annals of Surgery, Vol. 140, No. 3.
	QY	Patton, J. H, T. C. Fabian, M. A. Croce, G. Minard, F. E. Pritchard, and K. A. Kudsk, <i>Prophylactic Greenfield Filters: Acute Complications and Long-Term Follow-Up</i> , 08/1996; pp. 231-237; Journ. of Trauma: Injury, Infection, and Critical Care, Vol. 41, N.2.
	QZ	Piepgras; <i>Rapid Active Internal Core Cooling for Induction of Moderate Hypothermia in Head Injury by Use of an Extracorporeal Heat Exchanger</i> , 02/1998; pp. 311-318; Neurosurgery, Vol. 42, No. 2.
	RA	Rijken; <i>Plasminogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> ; 10/1989; pp.47-52; place of publication unknown.
	RB	Rohrer, M. J. and A. M. Natale, <i>Effect of Hypothermia on the Coagulation Cascade</i> , 10/1992, pp. 1402-1405, Critical Care Medicine, Vol. 20, N. 10.
	RC	Schmid-Elsaesser, R. et al. (1999), <i>Combination Drug Therapy and Mild Hypothermia: A Promising Treatment Strategy for Reversible, Focal Cerebral Ischemia</i> , Stroke, 1891-1899, June.
	RD	Schwartz, A.E. et al.; (1996); <i>Isolated cerebral hypothermia by single carotid artery perfusion of extracorporeally cooled blood in baboons</i> ; Neurosurgery 39(3):577-582.
	RE	Schwartz; <i>Cerebral Blood Flow during Low-flow Hypothermic Cardiopulmonary Bypass in Baboons</i> ; 06/1994; pp. 959-964; Anesthesiology, Vol. 81, No.4.
▼	RF	Schwartz; <i>Selective Cerebral Hypothermia by Means of Transfemoral Internal Carotid Artery Catheterization</i> ; 05/1996; pp.571-572; Radiology, Vol. 201, No. 2.

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unknown
Sheet	15	of	15	Filing Date	January 23, 2004
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3731
				Examiner Name	Unknown
				Attorney Docket Number	040003

RG	RG	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; The New England Journal of Medicine; pp. 1730-1737; 336:1730-1737; (June 12, 1997)
	RH	Sharkey, A., J. M. Lipton, M. T. Murphy, and A. H. Giesecke, <i>Inhibition of Postanesthetic Shivering with Radiant Heat</i> , 02/1987, pp. 249-252, Anesthesiology, Vol. 66, N. 2.
	RI	Shiraki, K., N. Konda, and S. Sagawa, "Esophageal and Tympanic Temperature Responses to Core Blood Temperature Changes during Hyperthermia, <i>J. Appl. Physiol.</i> 61(1):98-102 (1986).
	RJ	Simon, M., C. A. Athanasoulis, D. Kim, F. L. Steinberg, D. H. Porter, B. H. Byse, S. Kleshinski, S. Geller, D. E. Orron, and A. C. Waltman; <i>Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience</i> , 07/1989, pp. 99-103; Radiology.
	RK	Steen; <i>The Detrimental Effects of Prolonged Hypothermia and Rewarming in the Dog</i> ; 08/1979 ;pp. 224-230; Anesthesiology, Vol. 52, No. 3.
	RL	Vandam; <i>Hypothermia</i> ; 09/1959; pp.546-553; The New England Journal of Medicine.
	RM	Villamaria, F. J., C. E. Baisden, A. Hillis, M. H. Rajab, and P. A. Rinaldi, "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature After Cardiac Surgery," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , October 1997, 11(6):708-711.
	RN	White; <i>Cerebral Hypothermia and Circulatory Arrest</i> ; 07/1978; pp.450-458; Mayo Clinic Proceedings, Vol. 53.
	RO	Williams et al., <i>Passivity breakdown and pitting corrosion of binary alloys</i> , 1991
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	RQ	Yoshihara; <i>Changes in Coagulation and Fibrinolysis Occurring in Dogs during Hypothermia</i> ; 08/1984; pp.503-512; Thrombosis Research, Vol. 37, No. 4.
	RR	Zarins; <i>Circulation in Profound Hypothermia</i> ; 11/1972; pp. 97-104; Journal of Surgical Research, Vol. 14, N. 2.
✓	RS	Zweifler, R. M. and D. I. Sessler, "Thermoregulatory Vasoconstriction and Shivering Impede Therapeutic Hypothermia in Acute Ischemic Stroke Patients," <i>Journ. Stroke and Cerebrovascular Diseases</i> , 1996, 6(2):100-104.

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